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Docket No.: 000166.0108-US01

Group Art Unit: 1615

Examiner: Not Yet Assigned

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

J. Michael Ramstack, Ph.D., et al.

Application No.: 10/022,859

Filed: December 20, 2001

For: PREPARATION OF MICROPARTICLES

HAVING IMPROVED FLOWABILITY

## **Supplemental Information Disclosure Statement**

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO/SB/08A are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO/SB/08A based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior

art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

- ☑ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No statement under 37 C.F.R. § 1.97(e) or fee is required.
- □ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
  - □ a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
  - □ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c)

□ 6.

below:

more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). Attached is our Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_ in payment of the □ c. fee under 37 C.F.R. § 1.17(p). **3**. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered. Attached is our Check No. in the amount of \$ \_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(i). □ a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). □ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). **4**. Relevance of the non-English language document(s) is discussed in the present specification. **□** 5. The document(s) was/were cited in a corresponding foreign application. An English language version of the foreign search report is attached for the Examiner's information.

A concise explanation of the relevance of the non-English language document(s) appears

<b>7</b> .	The Examiner's attention is directed to co-pending U.S. Patent Application No.
	, filed, which is directed to related technical subject matter.
	The identification of this U.S. Patent Application is not to be construed as a
	waiver of secrecy as to that application now or upon issuance of the present
	application as a patent. The Examiner is respectfully requested to consider the
	cited application and the art cited therein during examination.
□ 8.	Copies of the documents were cited by or submitted to the Office in Application No.
	, filed, which is relied upon for an earlier filing date under
	35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R.
	§ 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO/SB/08A, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-0740, referencing Docket No. 000166.0108-US01.

Respectfully submitted,

Andrea G. Reister (Reg. No. 36,253)

Date: August 28, 2002

COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2401 (202) 662-6000

PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/022,859
INFORMATION DISCLOSURE				Filing Date	December 20, 2001
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	J. Michael Ramstack, Ph.D.
				Art Unit	1615
(use as many sheets as necessary)			essary)	Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	000166.0108-US01

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Potentias or Applicant	Pages, Cotumns, Lines, Where Relevant
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Passages or Relevant Figures Appear

		FOREI	GN PATENT	DOCUMENTS	· · · · · · · · · · · · · · · · · · ·	
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-OD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	<b>7</b> 6
	AT	IB-WO 00/40221-A1	07-13-2000	ALKERMES CONTROLLED		
L	<u> </u>			THERAPEUTICS INC. II		

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
L					

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.